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Attorney Docket No.: 5062

PATENT APPLICATION

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): **John S. HENDRICKS, et al.** Confirmation No.: 2949

Application No.: 08/958,088

Examiner: C. Grant

**RECEIVED**

MAR 28 2003

Filing Date: **October 27, 1997**

Group Art Unit: 2611 Technology Center 2600

Title: **DIGITAL CABLE HEADEND FOR CABLE TELEVISION DELIVERY SYSTEM**

Commissioner of Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

This Information Disclosure Statement is Submitted:

- ☐ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97(c) together with either a:
- ☐ Statement under 37 CFR 1.97(e), or
  - ☒ a \$180.00 fee under 37 CFR 1.17(p), or  
(after the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
- ☐ Statement under 37 CFR 1.97(e), and
  - ☐ a petition under 37 CFR 1.97(d)(2), and
  - ☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please find enclosed a check in the amount of \$ 180.00. In the event any variance exists between the amount enclosed and the Patent Office charges, please charge or credit any such variance to **Deposit Account No. 04-1425**.

03/25/2003 WASFAW1 00000033 08958088

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☒ Applicant(s) submit herewith Form PTO 1449 – Information Disclosure Citation together with a listing, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.


☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, and English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

Date: March 20, 2003

By: \_\_\_\_\_

  
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KL/ev  
Enclosure

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number	08/958,088
Filing Date	October 27, 1997
First Named Inventor	John S. HENDRICKS et al.
Art Unit	2611
Examiner Name	C. Grant

MAR 20 2003

Sheet	1	of	1	Attorney Docket Number	5062
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**U.S. PATENT DOCUMENTS**

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5,635,989	6/3/97	Rothmuller	
		US- 5,629,733	5/13/97	Youman, et al.	
		US- 5,793,872	8/11/98	Hirayama, et al.	
		US- 5,550,863	8/27/96	Yurt, et al.	
		US- 5,270,809	12/14/93	Gammie, et al.	
		US- 6,331,877	12/18/01	Bennington, et al.	
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**FOREIGN PATENT DOCUMENTS**

*Examiner Initial	Cite No.	FOREIGN PATENT DOCUMENT		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	TRANSLATION	
		Country Code:	Number - Kind Code (if known)				YES	NO
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EXAMINER SIGNATURE

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.